

Attorney's Docket No.: 11657-003001
2761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Gastineau Art Unit : Unknown
Serial No. : 09/536,258 Examiner : Unknown
Filed : March 27, 2000
Title : HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER
 PORTFOLIO BASKET PRODUCTS

Commissioner for Patents
Washington, D.C. 20231

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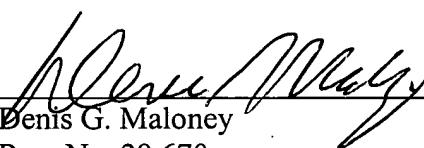
INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 8/8/2000


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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 8, 2000
Date of Deposit

Marie Collins
Signature

Marie Collins
Typed or Printed Name of Person Signing Certificate